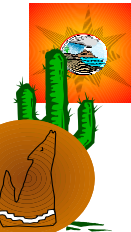


Multi-Step Automatic Calibration Scheme (MACS)

Terri S. Hogue

*Soroosh Sorooshian, Hoshin Gupta,
Claire Tomkins, and Travis Booth*

October 22, 2002



AHPS = Calibration Issues

~ 4000 River Forecast Points

Small % with calibrations

Manual – reliable, quality,

but **TIME-CONSUMING**

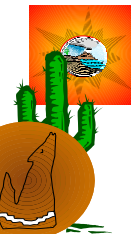
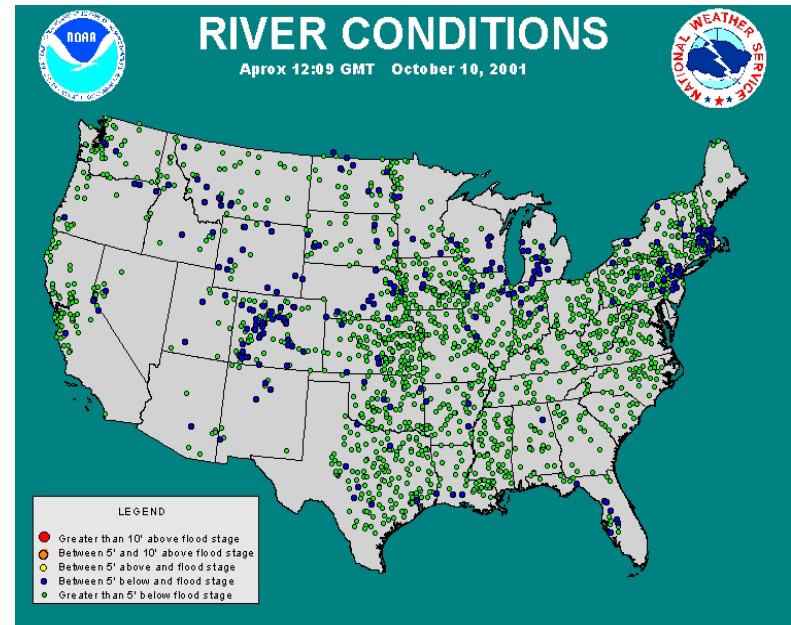
Automatic – reliable, quality,

and **EFFICIENT** (fewer man-hours)

GOAL:

Advanced Calibration Techniques → NWSRFS

= *Within existing code !!*



Manual vs. Automatic Calibration

Manual

User knowledge

Excellent model calibrations

Complicated and highly labor intensive

Expertise not easily transferred

Automatic

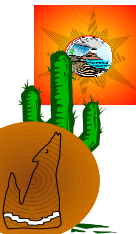
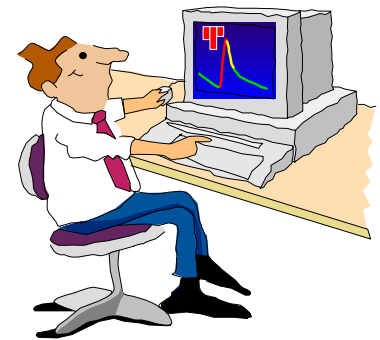
User knowledge

Speed and power of computer

Objective procedure

Easy to use

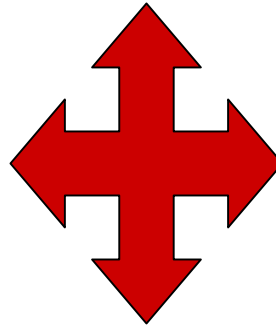
→ **Results not generally acceptable**



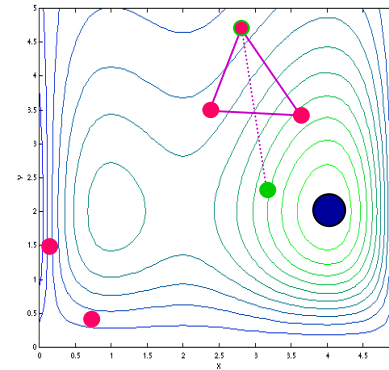
Automatic Calibration

Objective Function (Root Mean Square)

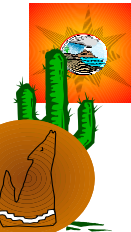
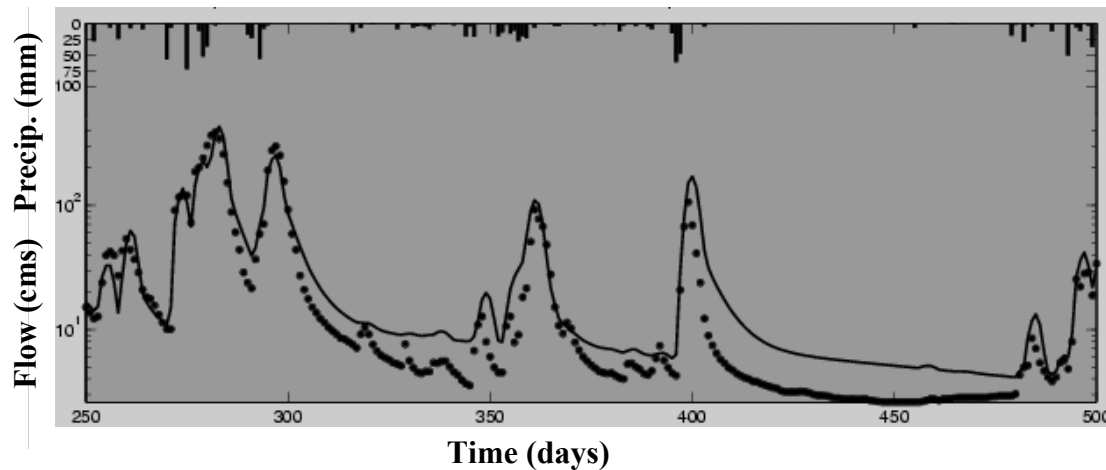
$$DRMS = \sqrt{\frac{1}{n} \left(\sum_{t=1}^n (Q_{sim,t} - Q_{obs,t})^2 \right)}$$



Optimization Algorithm (SCE-UA)

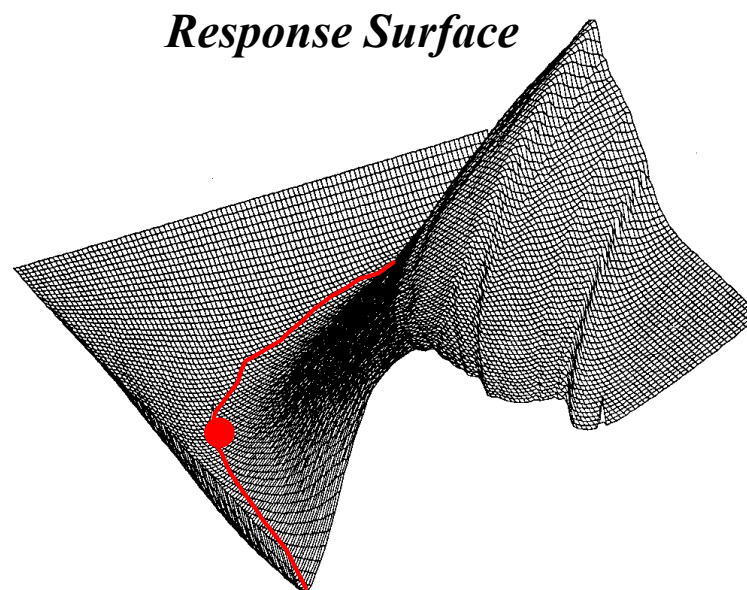


Historical Data



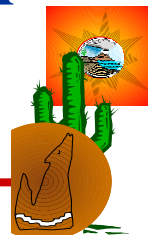
Difficulties in Optimization

- 1.- Regions of Attraction** *More than one main convergence region*
- 2.- Local Optima** *Many small "pits" in each region*
- 3.- Roughness** *Rough surface with discontinuous derivatives*
- 4.- Flatness** *Flat near optimum with significantly different parameter sensitivities*
- 5.- Shape** *Long and curved ridges*



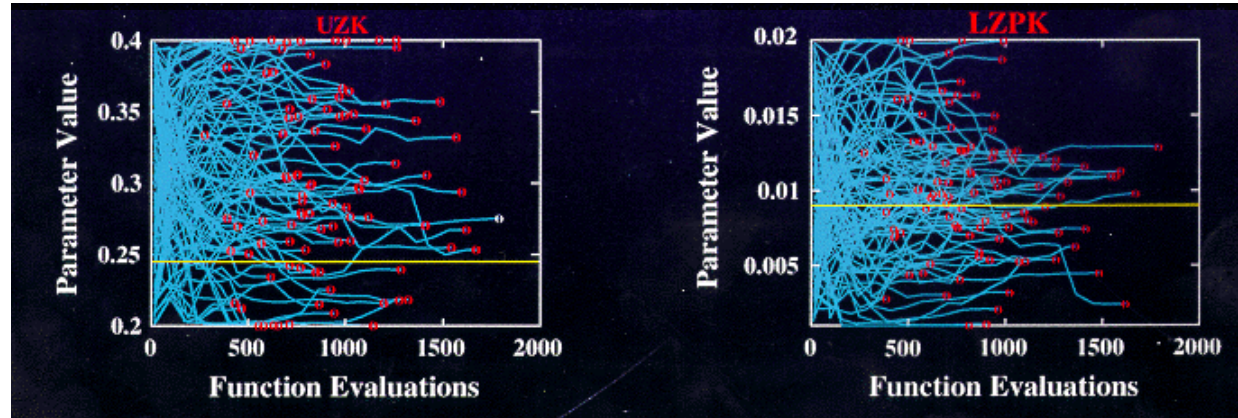
→ **Development of “Global Search Algorithm: SCE-UA”**

Duan, Gupta, and Sorooshian, 1992

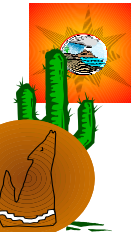
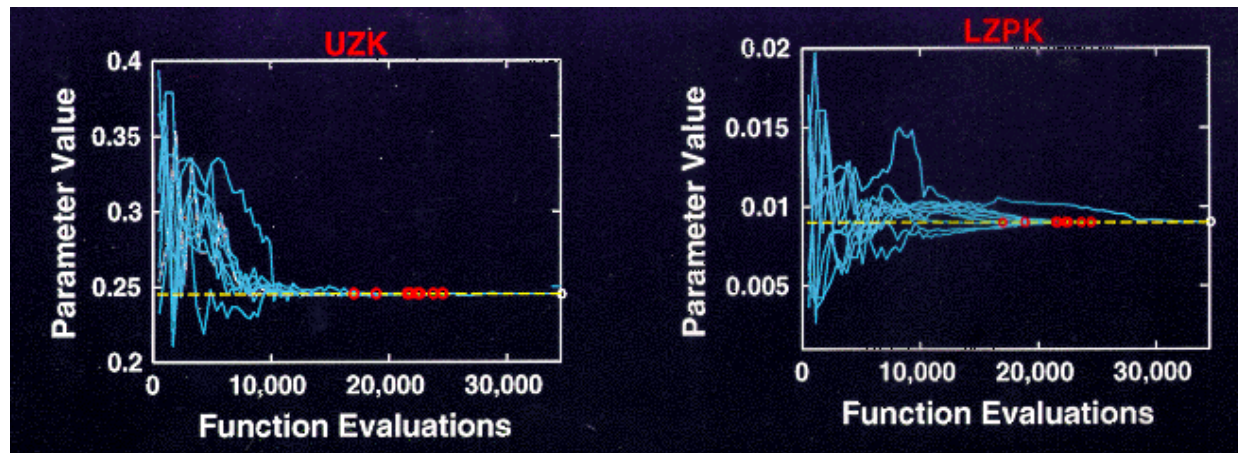


Performance: *SCE-UA* vs. *Simplex*

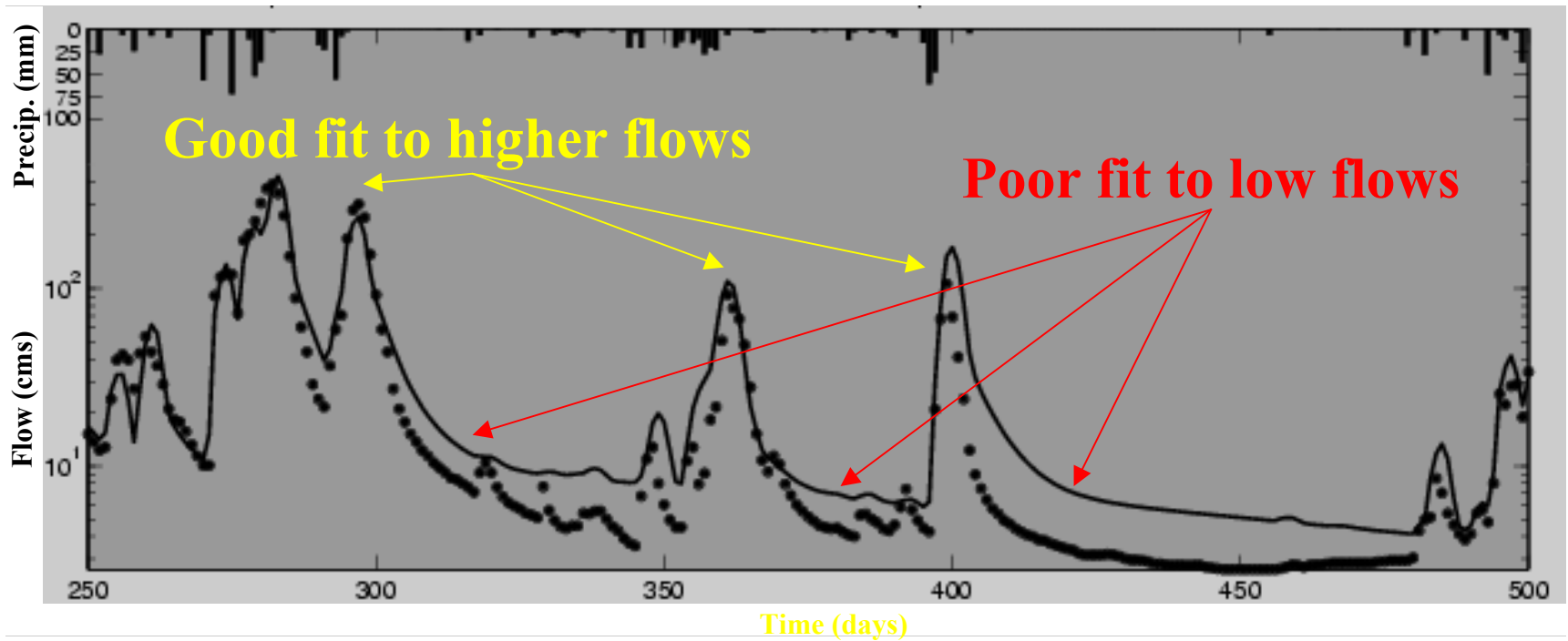
Simplex



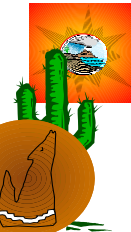
SCE - UA



Motivation for Multi-step / Multi-objective



*Results from Automatic single criteria calibration of
SAC-SMA model*



Multi-step Automatic Calibration Scheme (MACS)

- 3-step automatic calibration process
- Use of different objective functions with various model parameters
- *Process:*
 - 1) Baseflow Parameters
 - 2) High Flow Events – Snow melt, etc.
 - 3) Fine-tuning – baseflow, ET parameters ...



Multi-step Automatic Calibration Scheme (MACS)

SNOW-17

SCF	SI
MFMAX	MFMIN

SAC-SMA

Upper Zone

UZWIM	PCTIM
UZFWIM	UZK



ZPERC	REXP
-------	------

SAC-SMA

Lower Zone

LZWIM	LZFSM	LZFPM
LZSK	LZPK	PFREE

2 - DRMS (10)

$$DRMS = \sqrt{\frac{1}{n} \left(\sum_{t=1}^n (Q_{sim,t} - Q_{obs,t})^2 \right)}$$

1 - LOG (16)

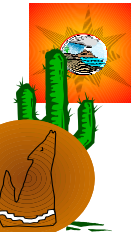
$$LOG = \sum \left(LOG_{Q_{sim}} - LOG_{Q_{obs}} \right)^2$$

3 - LOG (6)

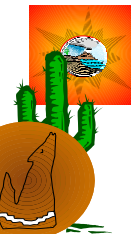
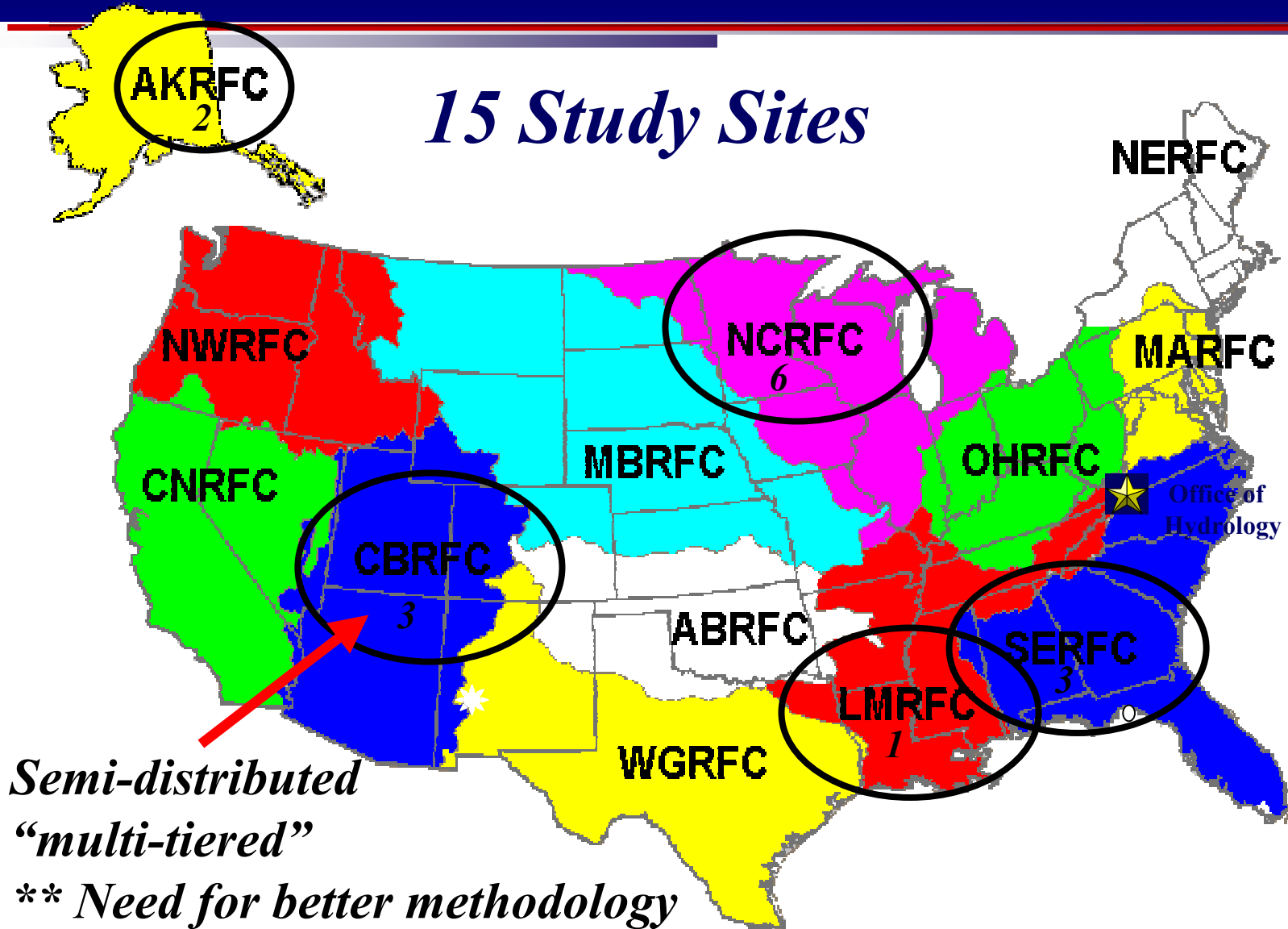


Methods for Calibration

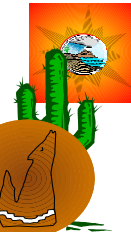
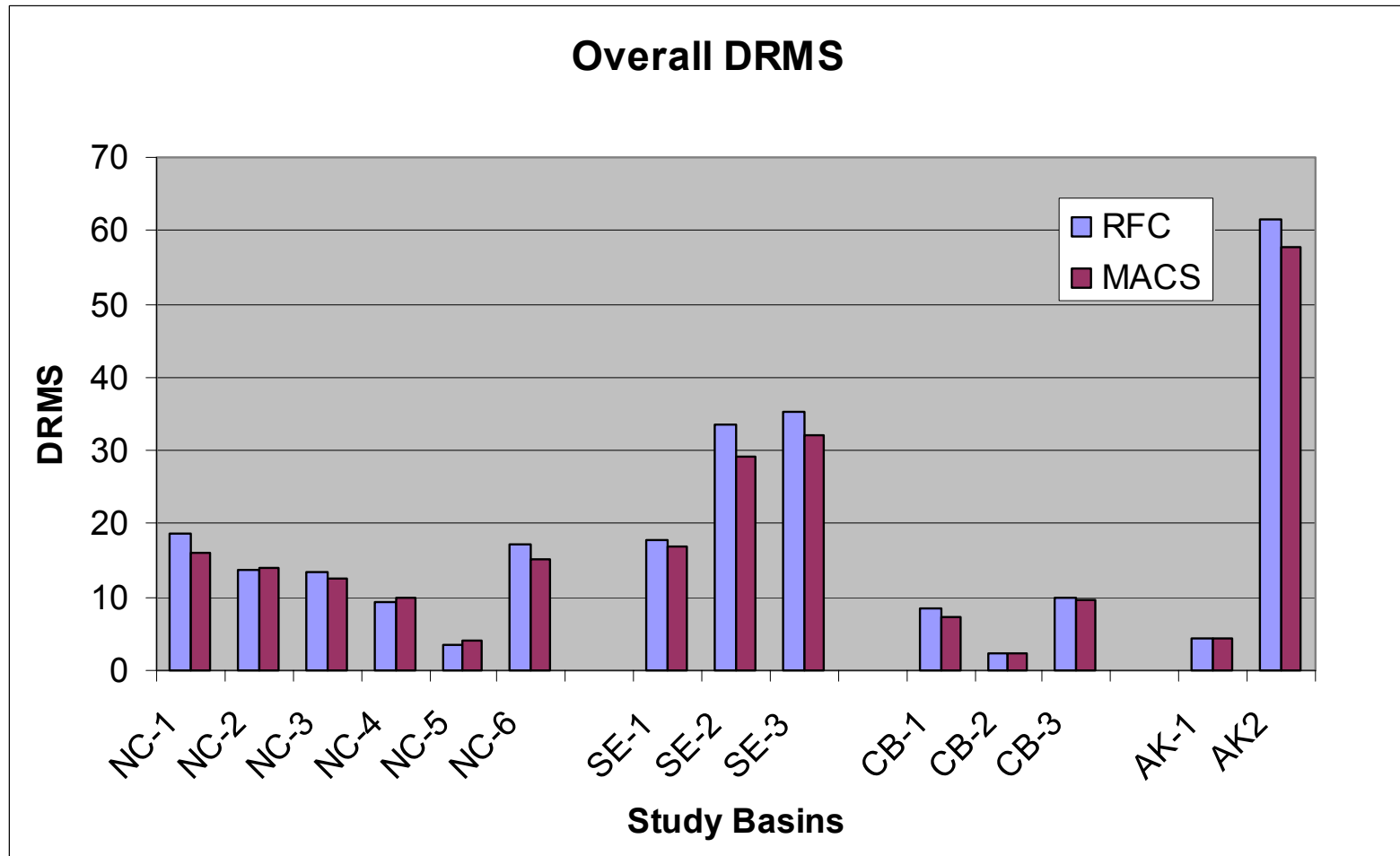
- **15 Headwater Basins – varying size (160-5195 km²)**
 - 10 lumped basins**
 - 5 multi-level basins (elevation bands)**
- **~ 40 years historical data**
 - Calibration: ~ 11 years**
 - Validation: ~ 40 years (entire period)**
- **RFC parameter ranges**
- **RFC statistics / hydrographs for evaluation**
 - DRMS, %Bias, Monthly statistics, etc...**



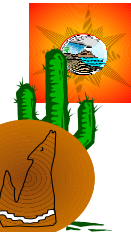
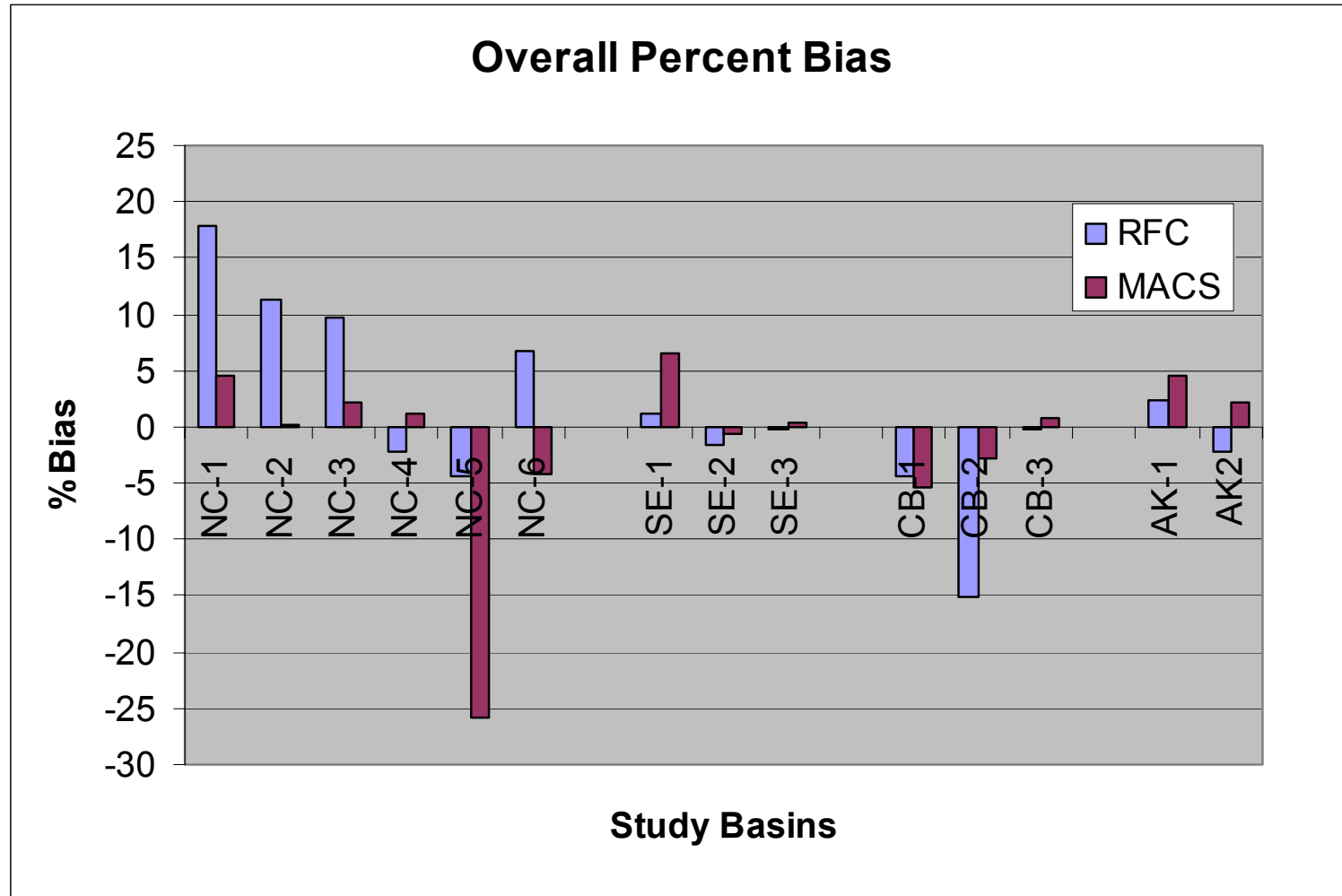
15 Study Sites



Evaluation of Calibrations



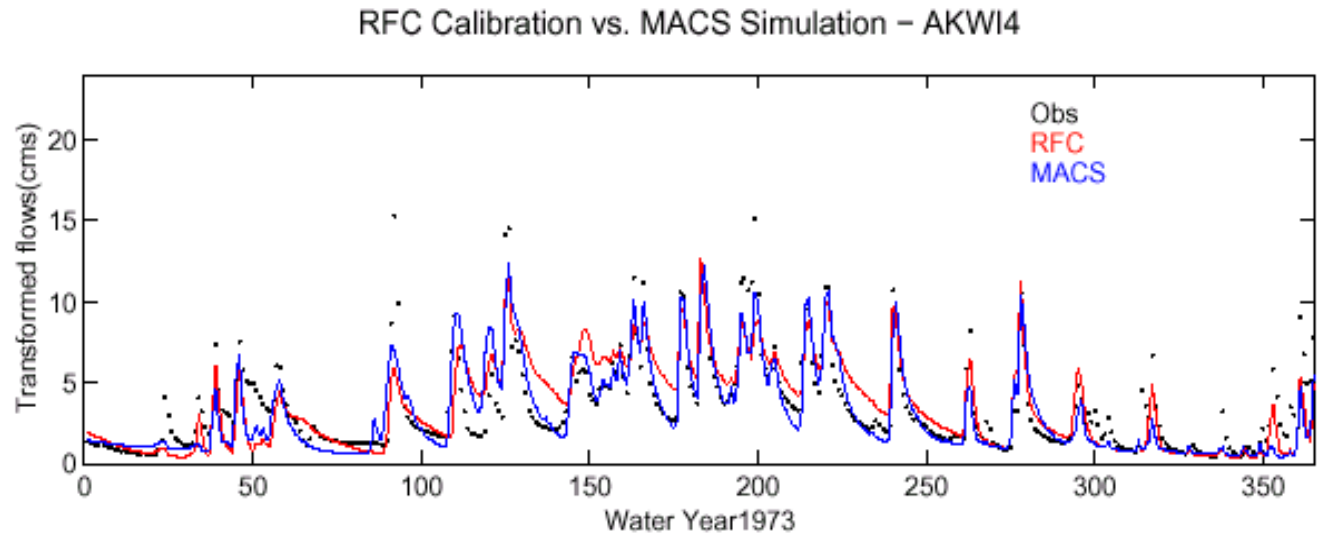
Evaluation of Calibrations



Multi-step Automatic Calibration Scheme (MACS)

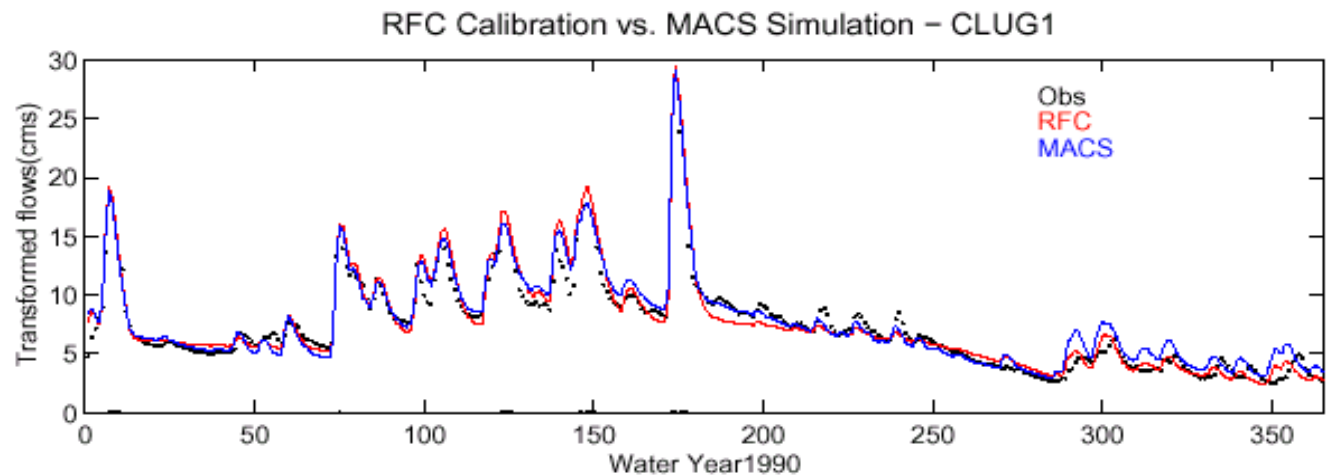
NCRFC

South River, IA



SERFC

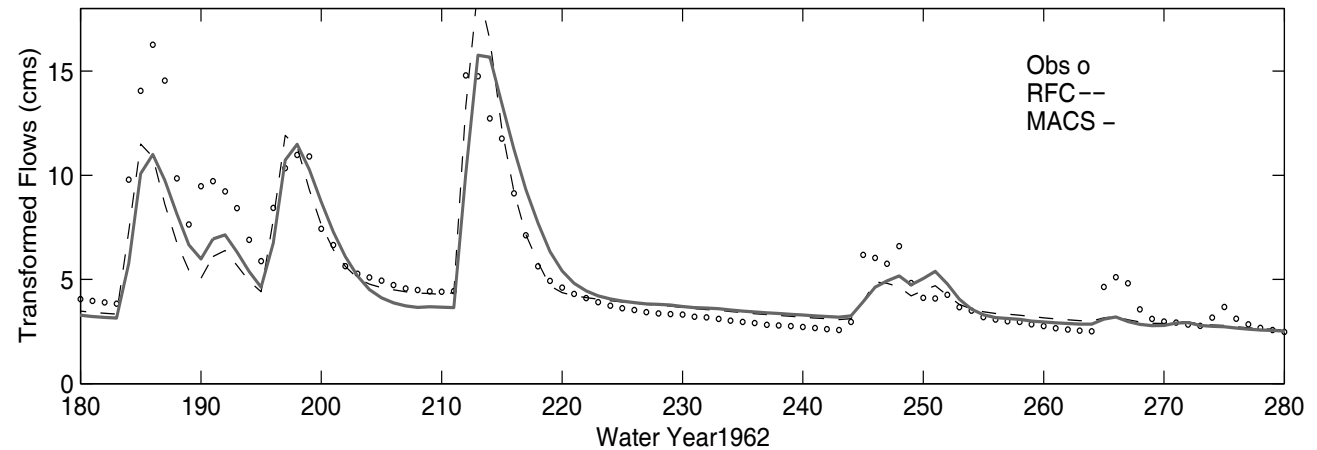
Flint River, GA



Multi-step Automatic Calibration Scheme (MACS)

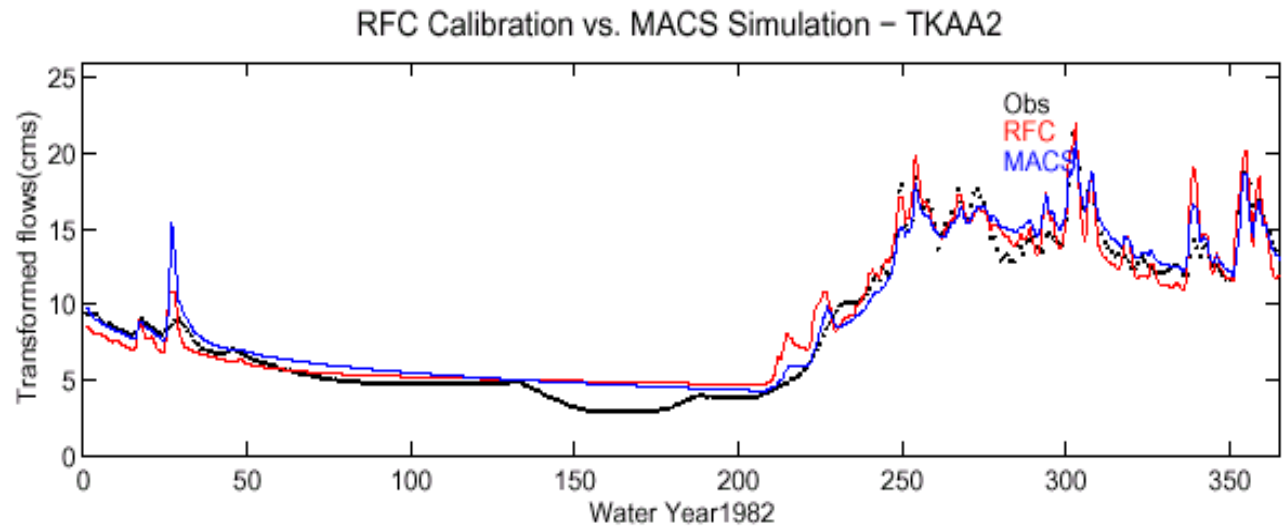
LMRFC

Leaf River



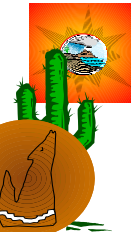
AKRFC

Talkeetna River



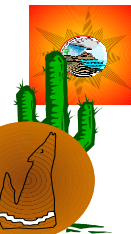
CBRFC – *previous work* !

- *Previous rationale:* examine the proportional area and contribution to flow of the three regions. The region considered to make the most significant contribution to flow, which may also comprise the largest proportion of total area, is calibrated initially. Other elevations bands follow, typically lower region next and lastly upper zone.
- In order to not bias the automatic calibration results, *mid-point values* of each parameter range were used for the parameters *not* being calibrated in the initial run.

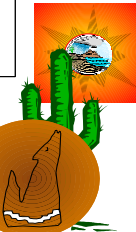
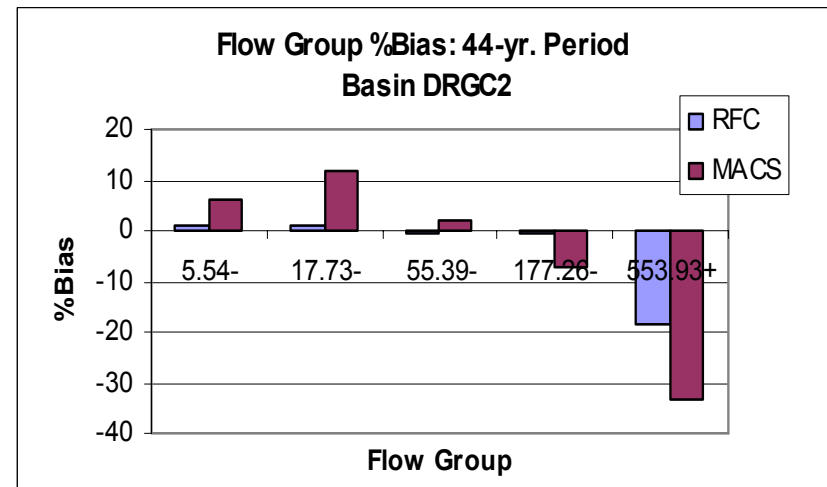
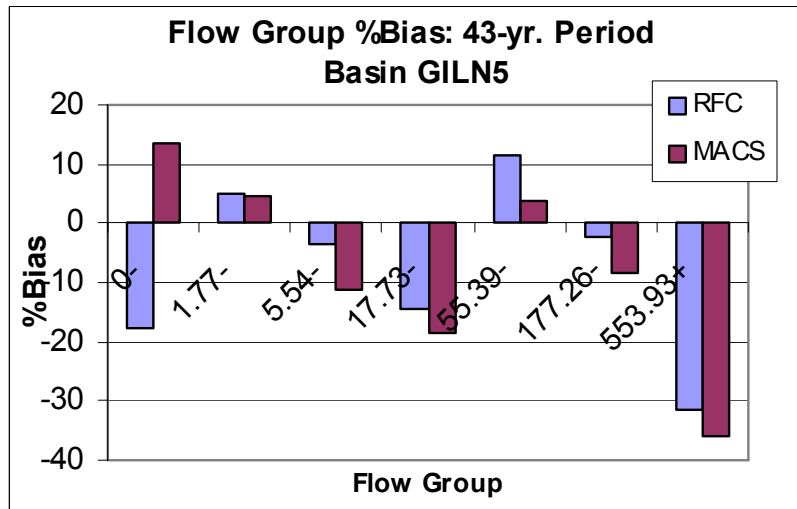
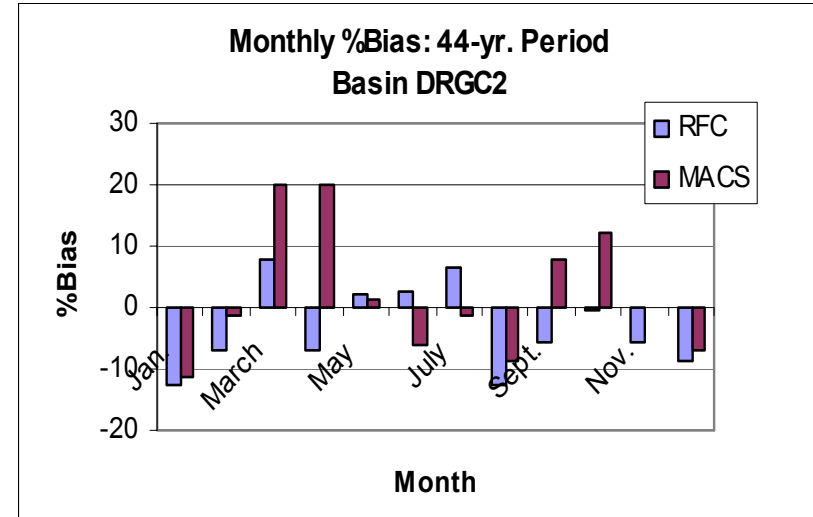
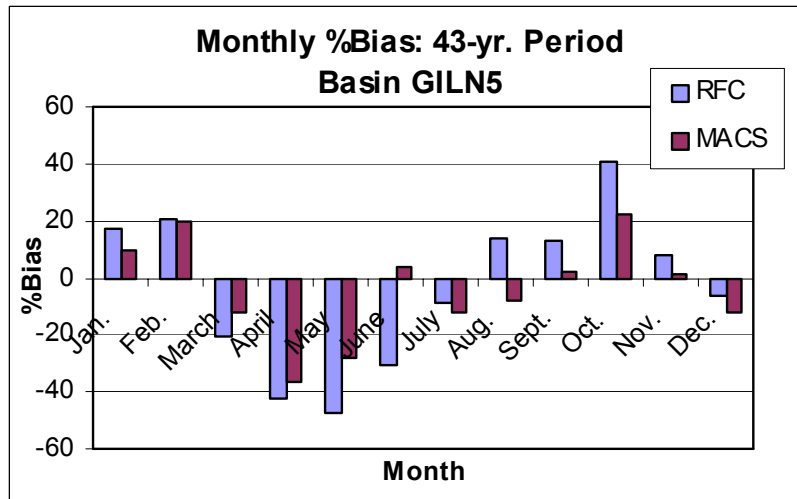


CBRFC Basins

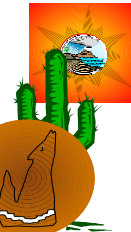
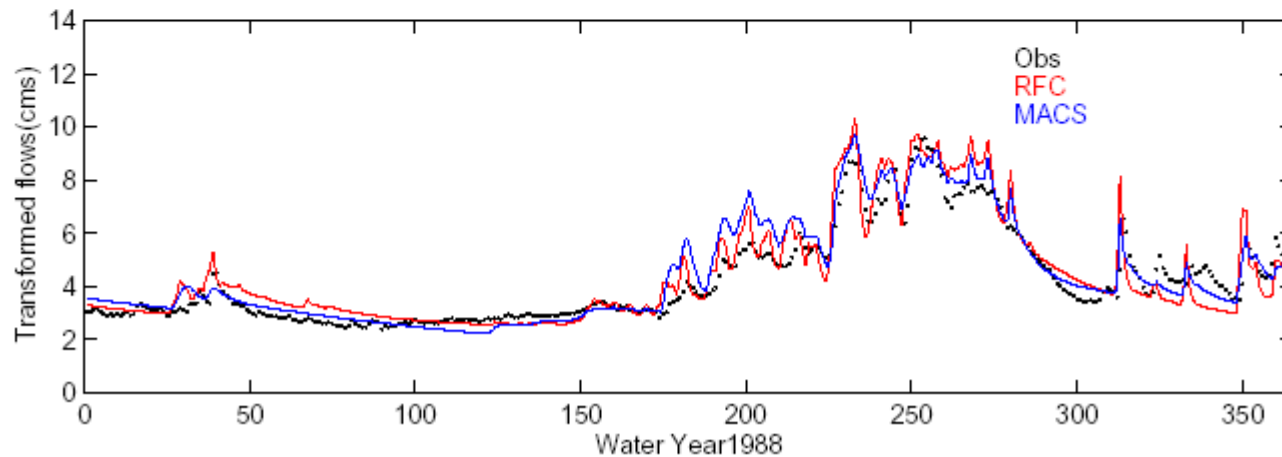
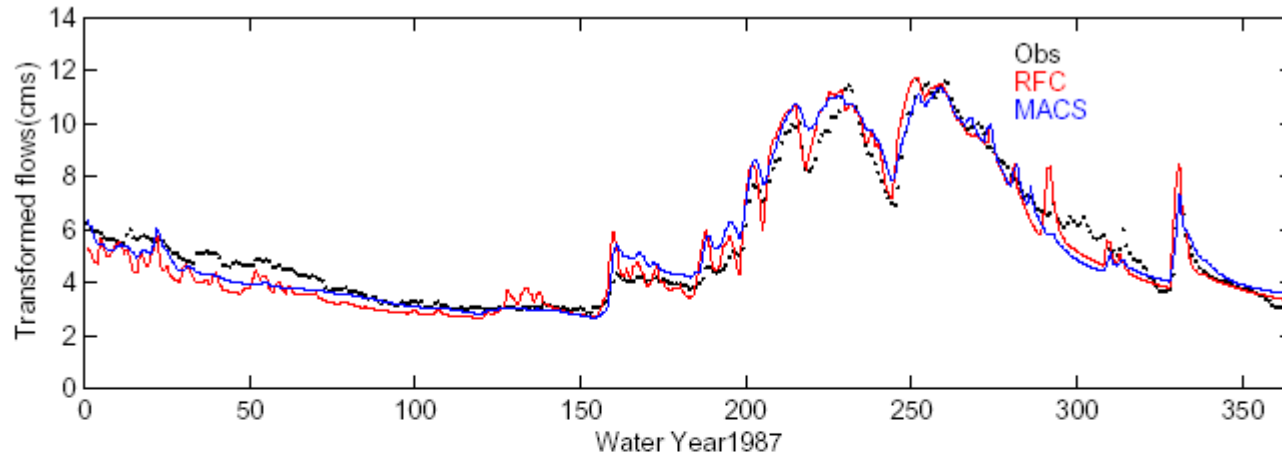
	Gila (GILN5)				
	1976-1987			1950-1993	
	RFC	MACS		RFC	MACS
% Bias	6.57	2.68		-4.36	-5.4
DRMS	10.186	8.677		8.562	7.315
	Virgin (NFUV1)				
	1977-1988			1951-1998	
	RFC	MACS		RFC	MACS
% Bias	4.69	3.98		-15.15	-2.89
DRMS	2	1.97		2.239	2.199
	Animas (DRGC2)				
	1982-1993			1950-1994	
	RFC	MACS		RFC	MACS
% Bias	1.69	0.76		-0.12	0.72
DRMS	10.718	8.82		9.873	9.506



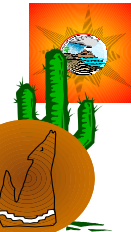
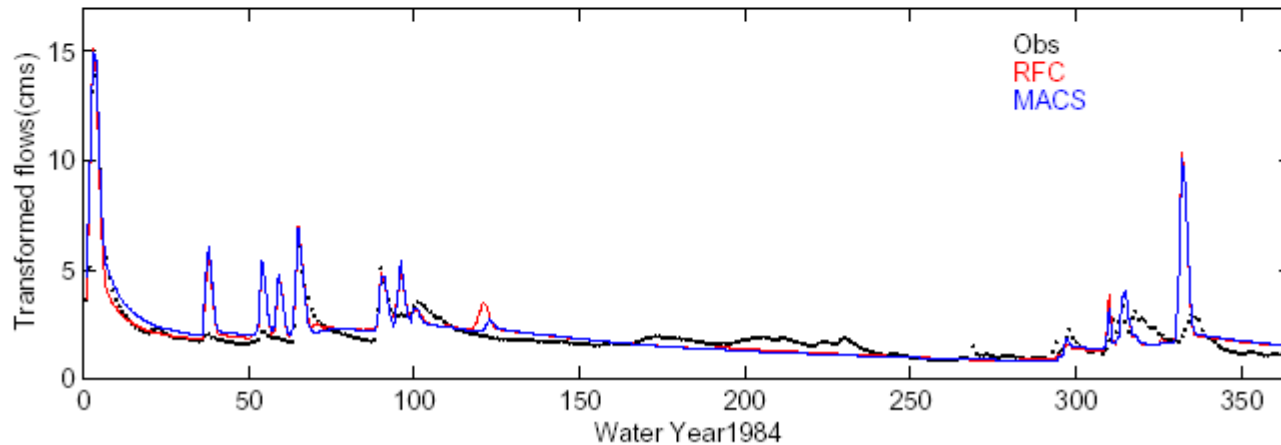
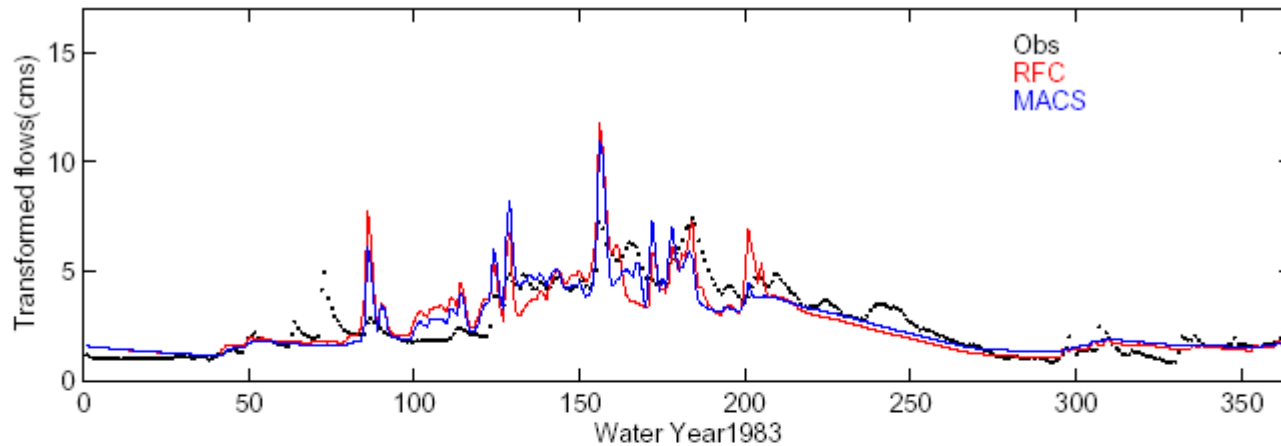
CBRFC Basins



CBRFC Basins - Gila



CBRFC Basins - Animas



MACS – Comments

- **Time-saving, reliable, quality calibrations**
- **Application to 15 Basins / 5 Regions**
- **Statistics and Hydrographs comparable to RFCs**

- **Application / Implementation to other RFC regions in progress**

- ***More Work on Multi-tiered systems (CBRFC)***

